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TO: E. Joh Group	nnson > 1765	FROM: Felissa Cagan					
COMPANY:	U.S. PTO	PHONE NUMBER: (650) 631-3145					
FAX NUMBE	R: 703-872-9306	FAX NUMBER: (650) 631-3125					
PHONE NUM	BER:	DATE: February 4, 2004					
RE; U.S. S	erial No. 09/996,020	NO. OF PAGES: 20 (INCLUDING COVER)					
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TRANSMITTAL FORM (to be used for all correspondence after initial filing)			Filing Date		09/996.020		
			First Named Inventor		November 27, 2001		
			Art Unit Mazen Hanna et al.				
(to be used to an correspondence dual united ming)		Examiner Name	17/65			·	
				<u>ohnson</u>			
Total Number of Pages in This Submission			Attorney Docket Number	010	0108.11		
		ENC	LOSURES (Check all t	hat apply)		
Fee Attached X Amendment/Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request			Drawing(s) Licensing-related Papers Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Address Ferminal Disclaimer Request for Refund CD, Number of CD(s)			o Group Appeal Co Appeal Appeal Co Appeal No Proprietar Status Let	losure(s) (please
Incomple	te Application esponse to Missing Parts oder 37 CFR 1.52 or 1.53						
F:	SIGNAT	URE OI	F APPLICANT, ATTORI	NEY, O	R AGEN	Ţ	· · · · · · · · · · · · · · · · · · ·
Firm or Individual name	Felissa H. Cagan; Nektar Therapeutics						
Signature	Felissa H. Cag						
Date	February 4,						
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the date shown be	at this correspondence is bei as first class mail In an enve slow.Washington, DC 20231	ng facsim	ile transmitted to the USPTO			e United 5 50, Alexa	States Postal Service with Indria, VA 22313-1450 on
Typed or printed n	ame Kathy Honner	t.					
Signature					-	Date	02/04/2004
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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant	;	Hanna et al.) Group Art Unit 1754
Appl. No.	;	09/996,020) I bereby certify that this correspondence and a marked uttuebraeous are being facsimil
Filed	:	November 27, 2001) wansorteed to the Assistant Commissioner for Faunts Group Art Unit 1754, 703-872-9306
For	:	Method and Apparatus for the Formation of Particles) Kathy Ronnert
Examiner	<u>:</u>	Johnson, Edward M.))

AMENDMENT AND RESPONSE

Assistant Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

This is in response to the Notice of Non-Compliant Amendment (37 CFR 1.121) in the above-referenced case dated January 26, 2004. Reconsideration of the rejections in view of the following amendments and remarks is respectfully requested.